

Subject:	Foundation Trust Pipeline Update
Prepared by: Sponsored by: Presented by:	Brian Courtney – Associate Director and Alistair Glen, Head of Planning and Performance Ursula Ward, Chief Executive Ursula Ward, Chief Executive
Purpose of paper	To update the Board on progress and to request the Board self-certify to the SHA against a series of Board statements drawn from the Monitor Compliance Framework, based on performance in the month of July 2012.
Key points for Trust Board members <i>Briefly summarise in bullet point format the main points and key issues that the Trust Board members should focus on including conclusions and proposals</i>	The Board is asked to note: <ul style="list-style-type: none"> ▪ Feedback received from the Strategic Health Authority on the Integrated Business Plan submitted on 13 July 2012 ▪ Update on Historical Due Diligence Phase 1, Quality Governance External Review and Board Governance Assurance Framework ▪ Trust Board may ask the Chair and Chief Executive to sign Governance Declaration 1, based on performance and risks reported to the Trust Board in the Integrated Performance Report
Options and decisions required <i>Clearly identify options that are to be considered and any decisions required</i>	<ul style="list-style-type: none"> ▪ To note the report ▪ Trust Board is requested to self-certify against the Governance Declaration and Board Statement requirements.
Next steps / future actions: <i>Clearly identify what will follow the Trust Board's discussion</i>	Work will continue, as outlined in the paper
Consideration of legal issues (including Equality Impact Assessment)?	Considered and none applicable
Consideration of Public and Patient Involvement and Communications Implications?	Public, patient, staff and stakeholder engagement are a part of the on-going process

Board of Directors: Foundation Trust Pipeline Update

30 August 2012

1. Introduction

- 1.1 This short paper provides an update on progress on delivery of the NHS Foundation Trust Pipeline.

2. Integrated Business Plan and Long Term Financial Model

- 2.1 Latest iterations were submitted to the Strategic Health Authority on 13 July. Feedback was received on 3 August 2012.

- 2.2 Overall the feedback was positive, however a number of issues were raised:

- The Board Committee structure was commented on and in particular the roles of the status of the Governance and Quality and Finance Committees were commented on. These comments are being considered;
- Favourable comments were received on the risk Strategy, which was submitted at the same time, however further work is required on /chapter 7 – Risk in the IBP;
- We need to strengthen the Integrated Business Plan in terms of linkages to commissioner intentions and evidence commissioner support for our plans to repatriate work from other providers;
- Service Developments (Chapter 5) needed to be fleshed out;
- In relation to the Long term Financial Model the Foundation Trust team have met with the Strategic Health Authority and worked through detailed comments.

- 2.3 Both documents are now being worked on to both address the comments received from the Strategic Health Authority and to update. The next iteration of both documents will be brought back to the board on 5 September 2012 to allow for any final comments input prior to submission on 21 September 2012. The Board is asked to note that:

- Chapter 5 (Service Development Plans) will not be finalised by this date, however the Board will receive a progress report and the chapter will be completed in time for the final submission; and
- The updated Long-Term Financial Model will reflect 2012/13 actuals and forecast outturn.

Action: the Board is asked to note progress and the area where further work is required

3. External Assessments

- 3.1 Again as the Board is aware the Trust as part of the Foundation Trust pipeline is undertaking a number of external reviews, these are:

- External Assessment of the Board Governance (KPMG: Started 26 July 2012)
- External Review of Quality Governance (RSM Tenon: Started 6 August 2012)
- Historical Due Diligence (PWC: Started 20 August 2012)

3.2 The Trust has shared significant amounts of documentation with all three firms as part of their desk top review. Interviews have been completed with all Board members on the Board Governance Assurance Framework, is scheduled for late August with RSM Tenon and in early September with PWC. Final reports are expected as follows

- KPMG: 6 September
- RSM Tenon: 7 September
- PWC: 14 September

3.3 All the reports and any agreed actions arising from them will be shared with the Strategic Health Authority, Department of Health (NHS Trust Development Agency) and, in due course, Monitor.

Action: The Board is asked to note the above

4. Single Operating Model: Self Assessment and Certification

4.1 The Single Operating Model produced by the Department of Health is, as the Board is aware is now being implemented. This is the performance management process that the NHS Trust Development Agency will operate as it takes over performance management of the remaining NHS Trusts from 1 October 2012. In the meantime Strategic Health Authorities are required to operate the model.

4.2 A key element of the Single Operating Model is self-certification. The Board were taken through a “mock” version of the process at the April Board. The attached populated template is the first self-certification the Trust is required to complete, see **Annex A**. The Board is asked to confirm that self certification is an accurate statement of the position of the Trust against each of the reported areas and then ask the Chairman and the Chief Executive to sign the document before submission to the Strategic Health Authority on 31 August 2012. The self certification process will continue on a monthly basis until the Trust is authorised as an NHS Foundation Trust.

Action: the Board is asked to confirm the self-certification template accurately reflects the current position of the Trust and ask that the Chairman and the Chief Executive to sign the document and submit to the Strategic Health Authority.

5. Conclusion

5.1 This paper sets out both current progress along the NHS Foundation Trust pipeline and asks the Board to note and approve the actions highlighted.

Brian Courtney
30 August 2012